

The energy momentum tensor of critical quantum field theories in 1+1 dimensions.

by

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Abstract: We show that the energy momentum tensor of a scaling invariant quantum field theory in two dimensional space time is always a Lie field. Its commutation relations are unique up to two positive real numbers which depend on the dynamics of the model considered.

Recently the Goldstone picture of  $P(\phi)_2$  - models has been confirmed [1]. The behaviour of these theories at critical points is however not yet well understood though it is widely believed that some sort of a scaling limit exists. Such a limit is then expected to be a dilatation invariant quantum field theory. Thus, this is a field theory satisfying Wightman's axioms [2] and moreover there is a unitary representation  $U(\lambda), \lambda > 0$ , of the dilatation group such that:

$$(1) \quad U(\lambda)|0\rangle = |0\rangle \quad ; \quad U(\lambda)\phi(x)U(\lambda)^{-1} = \lambda^d \phi(\lambda x)$$

Here,  $|0\rangle$  denotes the vacuum state and  $\phi$  is any local, covariant field in the theory.  $d > 0$  is the dimension of  $\phi$ .

In this letter we would like to point out that the energy momentum tensor  $\theta_{\mu\nu}$  of a dilatation invariant theory in 1+1 dimensions is a Lie field [3]. Lie fields occur only rarely in quantum field theory [4] and such a structure is thus very restrictive. For instance, Wightman functions involving  $\theta_{\mu\nu}$ 's only can be calculated explicitly (see below).

Our assumptions on  $\theta_{\mu\nu}$  are the following: it should be a local covariant field with dimension  $d=2$ . In addition we suppose that:

$$(2) \quad \theta_{\mu\nu}^+ = \theta_{\mu\nu} \quad ; \quad \theta_{\mu\nu} = \theta_{\nu\mu} \quad ; \quad \partial^\mu \theta_{\mu\nu} = 0$$

and furthermore

$$(3) \int dx' [\Theta_{\rho\mu}(x^0, x'), \phi(y)] = [P_\mu, \phi(y)] = (-i) \partial_\mu \phi(y)$$

for local covariant fields  $\phi$ .

We now prove that  $\Theta_{\mu\nu}$  is traceless:  $\Theta^\mu{}_\mu = 0$ . To this end consider the Schwinger two point function  $S_{\mu\nu\rho\sigma}$  of  $\Theta_{\mu\nu}$ :

$$S_{\mu\nu\rho\sigma}(x) = \text{analytic continuation of } \langle 0 | \Theta_{\mu\nu}(x) \Theta_{\rho\sigma}(0) | 0 \rangle.$$

This function is real analytic for  $x \in \mathbb{R}^2$ ,  $x \neq 0$  and it is covariant under rotations and dilatations:

$$S_{\mu\nu\rho\sigma}(\lambda x) = \lambda^{-4} S_{\mu\nu\rho\sigma}(x)$$

Moreover, by (2) and locality we have:

$$S_{\mu\nu\rho\sigma}(x) = S_{\nu\mu\rho\sigma}(x) = S_{\mu\nu\sigma\rho}(x)$$

$$S_{\mu\nu\rho\sigma}(x) = S_{\rho\sigma\mu\nu}(-x) = S_{\rho\sigma\nu\mu}(x)$$

Thus,  $S_{\mu\nu\rho\sigma}$  has six independent components and can be written as follows:

$$(4) S_{\mu\nu\rho\sigma} = (x^2)^{-4} \sum_{i=1}^6 A_i T_{\mu\nu\rho\sigma}^i \quad ; \quad A_i \in \mathbb{C}$$

where

$$T_{\mu\nu\rho\sigma}^1 = (x^2)^2 g_{\mu\nu} g_{\rho\sigma}$$

$$T_{\mu\nu\rho\sigma}^2 = (x^2)^2 (g_{\mu\rho} g_{\nu\sigma} + g_{\mu\sigma} g_{\nu\rho})$$

$$T_{\mu\nu\rho\sigma}^3 = x^2 (g_{\mu\nu} x_\rho x_\sigma + g_{\rho\sigma} x_\mu x_\nu)$$

$$T_{\mu\nu\rho\sigma}^4 = x_\mu x_\nu x_\rho x_\sigma$$

$$T_{\mu\nu\rho\sigma}^5 = x^2 \{ g_{\mu\nu} (x_\rho \epsilon_{\sigma\delta} x^\delta + x_\sigma \epsilon_{\rho\delta} x^\delta) + g_{\rho\sigma} (x_\mu \epsilon_{\nu\delta} x^\delta + x_\nu \epsilon_{\mu\delta} x^\delta) \}$$

$$T_{\mu\nu\rho\sigma}^6 = (x_\mu \epsilon_{\nu\delta} x^\delta + x_\nu \epsilon_{\mu\delta} x^\delta) x_\rho x_\sigma + x_\mu x_\nu (x_\rho \epsilon_{\sigma\delta} x^\delta + x_\sigma \epsilon_{\rho\delta} x^\delta)$$

$$(\epsilon_{\mu\nu} = -\epsilon_{\nu\mu}, \epsilon_{01} = +1; g_{00} = +g_{11} = +1)$$

$T^5$  and  $T^6$  are odd under parity and are absent in (4) if parity is conserved.

The continuity equation (2)  $\partial^\mu S_{\mu\nu\rho\sigma}(x) = 0$  now fixes the numbers  $A_i$  up to two arbitrary constants  $A_+$ ,  $A_-$ :

$$A_1 = 3A_+, \quad A_2 = -A_+, \quad A_3 = -4A_+, \quad A_4 = 8A_+$$

$$A_5 = A_-, \quad A_6 = -2A_-$$

Upon inserting these values into eq. (4) we find:

$$S^\mu{}_{\mu\rho\sigma}(x) = S^\mu{}_{\mu}{}^\rho{}_\rho(x) = 0$$

Hence  $\langle 0 | \theta^\mu{}_\nu(x) \theta^\rho{}_\sigma(0) | 0 \rangle$  vanishes which, by the Reeh-Schlieder theorem [2], implies that  $\theta^\mu{}_\nu(x) = 0$ .

The fact that  $\theta^\mu{}_\nu(x) = 0$  first of all says that

$\Theta_{\mu\nu}$  has got only two independent components. We may choose them to be

$$(5) \quad \Theta_+ \doteq \Theta_{00} + \Theta_{01} \quad ; \quad \Theta_- = \Theta_{00} - \Theta_{01}$$

Using once more  $\partial^\mu \Theta_{\mu\nu} = 0$  we have

$$\frac{\partial}{\partial x_-} \Theta_+(x_+, x_-) = 0 \quad ; \quad \frac{\partial}{\partial x_+} \Theta_-(x_+, x_-) = 0$$

Here,  $x_{\pm}$  denote the familiar lightcone variables:  $x_{\pm} = x^0 \pm x^1$  (\*)  
Hence  $\Theta_+$  ( $\Theta_-$ ) depends on  $x_+$  ( $x_-$ ) only.

For clarity we now state the main result of this note in form of a theorem:

Theorem:  $\Theta_+(x_+)$  and  $\Theta_-(x_-)$  are Lie fields, namely we have:

$$(6a) \quad [\Theta_+(x_+), \Theta_+(y_+)] = N_+ \frac{i^3}{12\pi} \delta'''(x_+ - y_+) + 4i \delta'(x_+ - y_+) \Theta_+(y_+) - 2i \delta(x_+ - y_+) \frac{\partial}{\partial y_+} \Theta_+(y_+)$$

$$(6b) \quad [\Theta_-(x_-), \Theta_-(y_-)] = N_- \frac{i^3}{12\pi} \delta'''(x_- - y_-) + 4i \delta'(x_- - y_-) \Theta_-(y_-) - 2i \delta(x_- - y_-) \frac{\partial}{\partial y_-} \Theta_-(y_-)$$

$$(6c) \quad [\Theta_+(x_+), \Theta_-(y_-)] = 0$$

$N_+$  and  $N_-$  are real numbers,  $N_{\pm} \geq 1$ .<sup>\*\*)</sup> In case parity is conserved  $N_+ = N_-$ .

\*<sup>)</sup> In Minkowski space we use the metric:  $g_{00} = -g_{11} = +1$

\*\*<sup>)</sup> We have omitted the exceptional case where, say,  $N_- = 0$ .

In this case  $\Theta_- = 0$  and the "field theory" is effectively one dimensional.

Before proceeding to the proof of the theorem we would like to make three remarks:

- 1) The numbers  $N_{\pm}$  in eqs. (6) cannot be removed by rescaling  $\Theta_{\mu\nu}$ ; they are of truly dynamical origin.
- 2) The two point function of  $\Theta_+$  is given by:

$$(7) \quad \langle 0 | \Theta_+(x_+) \Theta_+(y_+) | 0 \rangle = \frac{N_+}{4\pi^2} (x_+ - y_+ - i\epsilon)^{-4}$$

Thus,  $N_+$  and  $N_-$  roughly indicate how large energy momentum fluctuations in the vacuum state are.

- 3) In case  $N_+$  and  $N_-$  are natural numbers the algebra (6) can be represented canonically. For the case  $N_+ = 1, N_- = 0$  respectively  $N_+ = 0, N_- = 1$  this is done with the help of a hermitian, anticommuting field  $\varphi(x)$ . Here,  $x$  denotes  $x_+$  respectively  $x_-$ . We have:

$$\langle 0 | \varphi(x) \varphi(y) | 0 \rangle = \frac{1}{2\pi i} (x - y - i\epsilon)^{-1}$$

$$\{\varphi(x), \varphi(y)\} = \delta(x - y)$$

$$\Theta(x) \doteq i : \varphi(x) \frac{\partial}{\partial x} \varphi(x) : \doteq i \left\{ \varphi(x_1) \frac{\partial}{\partial x_2} \varphi(x_2) - \langle 0 | \varphi(x_1) \frac{\partial}{\partial x_2} \varphi(x_2) | 0 \rangle \right\}_{x_1 = x_2 = x}$$

and it is straight forward to verify that eqs. (6) hold.

Taking tensor products of these two "elementary" representations we obtain a representation of the algebra (6) for all nonnegative integers  $N_+, N_-$ .

This construction suggests that one should call  $N_+$  ( $N_-$ )

(numbers)

the number of  $\lambda$  quarks moving from the left to the right (right to left). Quarks and antiquarks are counted separately. We do not know whether it is possible to have nonintegral quark numbers; we however feel that due to the basically noncompact character of the algebra (6) there is no reason to reject such a possibility.

Proof of the theorem:

- 1) Eq. (6c) is just a consequence of locality; since for given  $x_+$ ,  $y_-$  we can always choose  $x, y$  such that  $x-y$  is spacelike.
- 2) To prove (6a) we first simplify our notation by writing  $\theta(x)$  ( $x \in \mathbb{R}$ ) instead of  $\theta_+(x_+)$ . From (1) and (3) we have

$$(8) \quad U(\lambda) \theta(x) U(\lambda)^{-1} = \lambda^2 \theta(\lambda x) \quad ; \quad \int dx [\theta(x), \theta(y)] = -2i \frac{\partial}{\partial y} \theta(y)$$

We now investigate the bilocal operator

$$(9) \quad F(z, y) \doteq [\theta(x), \theta(y)] \quad ; \quad z = x - y$$

$F(z, y)$  is an operator valued distribution which, by locality, vanishes unless  $z = 0$ . Let  $f(z)$  be a test function which equals 1 in an open neighborhood of zero. Define:

$$(10) \quad O_k(y) \doteq \frac{(-1)^k}{k!} \int dz z^k f(z) F(z, y) \quad ; \quad k = 0, 1, 2, \dots$$

These are local operator valued distributions. They do not depend on the particular shape of  $\varphi(z)$ . Therefore

$$(11) \quad U(\lambda) O_k(y) U(\lambda)^{-1} = \lambda^{3-k} O_k(\lambda y)$$

Of course the  $O_k(y)$ 's are covariant under translations. Hence:

$$(12) \quad \langle 0 | O_k^+(x) O_k(y) | 0 \rangle = B_k (x-y-i\epsilon)^{2k-6}, \quad B_k \in \mathbb{C}$$

This distribution should be positive. As is well known [5], this implies  $2k-6 \leq 0$ . Thus  $O_k = 0$  for  $k > 3$ .

Next we examine the matrix elements of  $F(z, y)$ . For  $|\psi\rangle, |x\rangle$  in the domain of  $\theta$  the matrix element  $\langle \psi | F(z, y) | x \rangle$  is a tempered distribution which is supported on  $\{0\} \times \mathbb{R}$ . Therefore [5]:

$$\langle \psi | F(z, y) | x \rangle = \sum_{l=0}^M \delta^{(l)}(z) H_l(y) \quad *)$$

Of course  $H_l(y) = \langle \psi | O_l(y) | x \rangle$  and so  $M$  can be set equal to 3. Hence we have the operator equality:

$$(13) \quad [\theta(x), \theta(y)] = \sum_{l=0}^3 \delta^{(l)}(x-y) O_l(y)$$

\*)  $\delta^{(l)}(z)$  denotes the  $l$ 'th derivative of  $\delta(z)$



We now investigate the consequences of  $[\theta(x), \theta(y)] = -[\theta(y), \theta(x)]$  when inserted into eq. (13):

$$\sum_{\ell=0}^3 \delta^{(\ell)}(x-y) O_{\ell}(y) = - \sum_{\ell=0}^3 \delta^{(\ell)}(y-x) O_{\ell}(x)$$

$$\text{Now } \delta^{(\ell)}(y-x) O_{\ell}(x) = \sum_{k=0}^{\ell} (-1)^k \binom{\ell}{k} \delta^{(k)}(x-y) \frac{\partial^{\ell-k}}{\partial y^{\ell-k}} O_{\ell}(y).$$

Equating the coefficients of  $\delta^{(k)}(x-y)$  we obtain:

$$(14a) \quad O_0(y) = - \sum_{\ell=0}^3 \frac{\partial^{\ell}}{\partial y^{\ell}} O_{\ell}(y)$$

$$(14b) \quad O_1(y) = \sum_{\ell=1}^3 \ell \frac{\partial^{\ell-1}}{\partial y^{\ell-1}} O_{\ell}(y)$$

$$(14c) \quad O_2(y) = - \sum_{\ell=2,3} \frac{\ell(\ell-1)}{2} \frac{\partial^{\ell-2}}{\partial y^{\ell-2}} O_{\ell}(y)$$

From eq. (12) we observe that  $O_3$  is just a constant which can be rewritten as

$$O_3(y) = N \frac{i^3}{12\pi}$$

Now (14c) implies that  $O_2(y) = 0$  and (14a) reduces to

$$2O_0(y) = - \frac{\partial}{\partial y} O_1(y)$$

Putting these results together we have:

$$(15) \quad [\theta(x), \theta(y)] = N \frac{i^3}{12\pi} \delta'''(x-y) + \delta'(x-y) O_1(y) - \delta(x-y) \frac{1}{2} \frac{\partial}{\partial y} O_1(y)$$

Remembering eq. (8) shows that we must have

$$\frac{1}{2} \frac{\partial}{\partial y} O_1(y) = 2i \frac{\partial}{\partial y} \theta(y)$$

which by eqs. (8), (11) and locality of  $O_1$  and  $\theta$  integrates uniquely to give  $O_1(y) = 4i \theta(y)$ . This proves eqs. (6a), (6b).

3) We finally have to prove that  $N \geq 1$  (assuming  $N \neq 0$ ).

(\*) Notice that the Wightman distributions for  $\theta(x)$  can be calculated using eq. (6). To this end we split  $\theta(x)$  in its positive and negative frequency parts:

$$\begin{aligned} \tilde{\theta}(p) &= \int dx e^{-ipx} \theta(x) \\ \theta^{(-)}(x) &= \int_{-\infty}^0 \frac{dp}{2\pi} e^{ipx} \tilde{\theta}(p) \quad ; \quad \theta^{(+)}(x) = \int_0^{\infty} \frac{dp}{2\pi} e^{ipx} \tilde{\theta}(p) \end{aligned}$$

$$(16) \quad \theta(x) = \theta^{(-)}(x) + \theta^{(+)}(x) \quad ; \quad \theta^{(-)}(x) |0\rangle = 0$$

The commutation relation of  $\theta^{(-)}$  with  $\theta$  is easily calculated:

$$(17) \quad [\theta^{(-)}(x), \theta(y)] = \frac{N}{4\pi^2} (x-y-i\epsilon)^{-4} - \frac{2}{\pi} (x-y-i\epsilon)^{-2} \theta(y) - \frac{1}{\pi} (x-y-i\epsilon)^{-1} \frac{\partial}{\partial y} \theta(y)$$

The Wightman distributions  $w_n(x_1, \dots, x_n) = \langle 0 | \theta(x_1) \dots \theta(x_n) | 0 \rangle$  can now be computed by inserting expression (16) for  $\theta(x_i)$  and commuting  $\theta^{(-)}(x_i)$  to the right until it reaches the vacuum. This procedure yields  $w_n$  in terms of  $w_{n-1}, w_{n-2}$ .

As is obvious from eq. (17)  $w_n$  is a sum of products of integral

(Einschub!)

(\*) In order to do this we must first cast the algebra (6) in a more tractable form.

powers of the difference variable  $x_i - x_j$ . A closer examination shows that  $w_n$  is conformally covariant [6]. This is just a reflection of the wellknown fact [7] that dilatation invariance plus the mere existence of an energy momentum tensor already imply that the theory is conformally invariant.

To take advantage of the conformal covariance of  $w_n$  we perform a change of variables [8]:

$$x = \operatorname{tg} \tau/2, \quad \tau \in (-\pi, \pi)$$

(18)

$$T(\tau) \doteq (\cos \tau/2)^{-4} \theta(x(\tau))$$

Because  $x_1 - x_2 - i\epsilon = \frac{\sin \frac{1}{2}(\tau_1 - \tau_2 - i\epsilon)}{\cos \tau_1/2 \cdot \cos \tau_2/2}$  ( $|\tau_1 - \tau_2| < \pi$ ) the Wightman distributions of  $T(\tau)$  are sums of products of integral powers of  $\sin \frac{1}{2}(\tau_i - \tau_j - i\epsilon)$  ( $i < j$ ). The factors involving  $\cos \tau/2$  cancel by conformal covariance [8]. We thus see that  $T(\tau)$  can be extended to a periodic, operator valued distribution on the whole axis  $\tau \in (-\infty, \infty)$ :

$$(19) \quad T(\tau + 2\pi) = T(\tau)$$

Taking Fourier components

$$(20) \quad X_k = \frac{1}{8} \int_{-\pi}^{\pi} d\tau e^{-ik\tau} T(\tau), \quad k \in \mathbb{Z}$$

we arrive at the following algebra:

$$(21) \quad [X_\ell, X_k] = \frac{N}{24} k(k^2-1) \delta_{k+\ell,0} + (k-\ell) X_{k+\ell} \quad ; \quad X_k^+ = X_{-k}$$

$$(22) \quad X_k |0\rangle = 0 \quad \text{for} \quad k \leq 1$$

Note that the operators  $X_k$  can be multiplied at will since they are field operators smeared out with admissible test functions.

The remarkable algebra (21) is well known from dual theories [9] where it is called the Virasoro-algebra (in these theories  $N$  is always an integer).

We are now well prepared to prove that  $N \geq 1$ . This restriction stems from the requirement that the states created from the vacuum by applying a polynomial of  $X_k$ 's to it should have nonnegative norm. For instance, we have

$$\langle 0 | X_k^+ X_k | 0 \rangle = \langle 0 | X_{-k} X_k | 0 \rangle = \langle 0 | [X_{-k}, X_k] | 0 \rangle = \frac{N}{24} k(k^2-1)$$

Thus,  $N$  must be positive.

To show that actually the much stronger inequality  $N \geq 1$  holds we must calculate the norm of a much more complicated vector, namely:

$$|\psi\rangle = \{8X_9 + 6X_7X_2 + 12X_6X_3 - 8X_2X_5X_2 + 12X_3X_4X_2 - 5X_3X_3X_3\} |0\rangle$$

$|\psi\rangle$  is an eigenstate of  $X_0$  and gets annihilated by  $X_{-1}$ :

$$X_0 |\psi\rangle = 9 \cdot |\psi\rangle \quad , \quad X_{-1} |\psi\rangle = 0$$

(We mention in passing that  $|\psi\rangle$  is a lowest weight vector for the conformal algebra ( $= \mathfrak{sl}(2, \mathbb{R})$ ) which is spanned by  $X_0, X_1, X_{-1}$ .) A straightforward but tedious calculation yields the norm of  $|\psi\rangle$ :

$$\|\psi\|^2 = 56N(N-1)(5N+44)$$

Hence,  $\|\psi\|^2 < 0$  for  $0 < N < 1$  and so  $N \geq 1$  or  $N = 0$ . ■

We would like to make two concluding remarks:

1) We do not have a proof that the representation of the Virasoro algebra (21), which is specified by (22), is really a representation in a positive metric Hilbert space also in the case, when  $N$  is non integral (and, of course,  $N \geq 1$ ).

2) In case the underlying field theory contains other fields than just  $\phi_{uv}$  there will be representations of (21) that are inequivalent to the "vacuum representation" discussed so far. Because  $X_0 \geq 0$  [8], we expect them to be representations with a lowest weight vector  $|\Omega\rangle$ :

$$X_k |\Omega\rangle = 0 \text{ for } k \leq -1, \quad X_0 |\Omega\rangle = \lambda |\Omega\rangle; \quad \lambda > 0.$$

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