Chiral symmetry, the topological charge and the Yang–Mills gradient flow

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Topological charge beyond the semi-classical level

Conceptually challenging, since

- Fields are typically nowhere continuous
- $\bullet \ \langle q(x)q(0)\rangle \underset{x\to 0}{\sim} |x|^{-8} \quad \Rightarrow \quad \langle Q^n\rangle \text{ not obviously well defined}$
- Field space in LQCD is connected

Often considered to be a "lattice problem" ...

Density-chain formulae

Consider 4d LQCD with exact chiral symmetry

Topological charge = chiral anomaly

Giusti, Rossi & Testa '04 ML '04

Ginsparg & Wilson '82 Kaplan '92, ...

$$Q = \frac{a}{2} \operatorname{Tr} \{\gamma_5 D\} = m_1 m_2 m_3 \sum_{x,y,z} [P_{12}(x) S_{23}(y) S_{31}(z)]_{\text{Wick}}$$



For example

Assuming 5 or more quark flavours

$$\langle Q^2 \rangle = m_1 \dots m_5 \times \left\langle \mathbf{P} \checkmark \mathbf{S} \quad \mathbf{P} \checkmark \mathbf{S} \right\rangle$$

- Short-distance singularities are integrable
- Does not require renormalization

Well-defined universal formula for the topological susceptibility!

Flowed topological charge

ML '10 Weisz & ML '11 Hieda, Makino & Suzuki '17

At gradient-flow time t > 0

- No short-distance singularities
- The topological charge Q_t does not require renormalization
- In the continuum limit, $\partial_t \langle Q_t \ldots \rangle = 0$

In particular, the moments $\langle Q_t^n \rangle$ are well defined and independent of t

Moreover, as $a \to 0$ the gauge field becomes "smooth" with probability $1 - O(a^2)$



⇒ Topological sectors emerge dynamically!

Gradient flow vs density chains

Does the equality

$$\langle Q_t^2 \rangle = m_1 \dots m_5 \times \left\langle {}^{\mathbf{p}} \underbrace{ }_{\mathbf{s}} \; {}^{\mathbf{p}} \underbrace{ }_{\mathbf{s}} \right\rangle$$

hold beyond the semi-classical level?

Topology of field space \leftrightarrow chiral anomaly

Cè, Consonni, Engel & Giusti '15 (YM theory) ML '21 (full QCD)

Small flow-time expansion

As $t \to 0$

$$q_t(x) \sim c_1(t)\phi_1(x) + c_2(t)\phi_2(x) + O(t)$$

where

$$\phi_1(x) = q(x) + X_A \partial_\mu A_\mu(x)$$

$$\phi_2(x) = Z_A \partial_\mu A_\mu(x)$$

Moreover, using perturbation theory and the RG

$$\lim_{t \to 0} c_1(t) = 1, \qquad \lim_{t \to 0} c_2(t) = 0$$

Hieda & Suzuki '16; ML & Weisz '21

As a consequence

$$\langle Q_t^2 \rangle = \langle Q_t Q_s \rangle = \langle Q_t Q \rangle = m_4 m_5 \times \left\langle Q_t \ {}^{p} \bigcirc {}^{s} \right\rangle$$

The correlation function

$$\left\langle Q_t \stackrel{P}{\longleftrightarrow} \right\rangle = \int_{x,y,z} \langle q_t(x) P_{12}(y) S_{21}(z) \rangle$$

however develops additional short-distance singularities as $t \rightarrow 0$

Must control these to be able to establish the identity

$$\langle Q_t^2 \rangle = m_1 \dots m_5 \times \left\langle {}^{\mathbf{P}} \underbrace{ }_{\mathbf{S}} \; {}^{\mathbf{P}} \underbrace{ }_{\mathbf{S}} \right\rangle$$

Generating function for density chains

Consider a complex mass matrix ${\cal M}$

$$S_F = \int_x \overline{\psi}(x) \{ D + MP_- + M^{\dagger}P_+ \} \psi(x), \qquad P_{\pm} = \frac{1}{2} (1 \pm \gamma_5)$$

$$\partial_{rs}^{S}S_{F} = \int_{x} S_{rs}(x), \qquad \partial_{rs}^{P}S_{F} = \int_{x} P_{rs}(x)$$

 \Rightarrow The free energy

 $F(M) = -\ln\{Z(M)\}$

generates density chains, e.g.

$$\partial_{12}^{P} \partial_{23}^{S} \partial_{31}^{S} F(M) = \int_{x,y,z} \langle P_{12}(x) S_{23}(y) S_{31}(z) \rangle_{c}$$

Exact flavour symmetries

For any anti-Hermitian flavour matrix λ

$$\delta^V_\lambda M = [\lambda, M] \quad \Rightarrow \quad \delta^V_\lambda F(M) = 0$$

$$\delta^A_\lambda M = \{\lambda, M\} \quad \Rightarrow \quad \delta^A_\lambda F(M) = -2\operatorname{tr}\{\lambda\}\langle Q\rangle$$

Renormalization $(N_{\rm f} \ge 5)$

 ${\cal F}({\cal M})$ requires additive renormalization

$$\Delta F(M) = V \left[\frac{z_0}{a^4} + \frac{z_1}{a^2} \operatorname{tr}\{M^{\dagger}M\} + z_2 \operatorname{tr}\{M^{\dagger}M\}^2 + z_3 \operatorname{tr}\{(M^{\dagger}M)^2\} \right]$$

 \Rightarrow $F + \Delta F$ has the same symmetry properties as F

Final steps

Free energy \leftrightarrow density-chain formula at a > 0

$$\left[\delta^A_\lambda \delta^A_\eta \{F(M) + \Delta F(M)\} \right]_{\text{diagonal } M} = 4 \operatorname{tr} \{\lambda\} \operatorname{tr} \{\eta\} \langle Q^2 \rangle$$

$$=4\operatorname{tr}\{\lambda\}\operatorname{tr}\{\eta\}m_1\dots m_5\times\left\langle {}^{p}\swarrow {}^{s}_{s} \right\rangle$$

Final steps

Free energy \leftrightarrow density-chain formula at $a \rightarrow 0$ a = 0

$$\left[\delta^A_\lambda \delta^A_\eta \{F(M) + \Delta F(M)\}\right]_{\text{diagonal } M} \underbrace{= 4 \operatorname{tr}\{\lambda\} \operatorname{tr}\{\eta\}(Q^2)}_{}$$

$$=4\operatorname{tr}\{\lambda\}\operatorname{tr}\{\eta\}m_1\dots m_5\times\left\langle {}^{p}\swarrow {}^{s} \right\rangle$$

Final steps

Free energy \leftrightarrow density-chain formula at $a \rightarrow 0$ a = 0

$$\left[\delta^A_\lambda \delta^A_\eta \{ F(M) + \Delta F(M) \} \right]_{\text{diagonal } M} \underbrace{= 4 \operatorname{tr}\{\lambda\} \operatorname{tr}\{\eta\}(Q^2)}_{}$$

$$=4\operatorname{tr}\{\lambda\}\operatorname{tr}\{\eta\}m_1\dots m_5\times\left\langle P \swarrow S P \checkmark S\right\rangle$$

Free energy \leftrightarrow gradient-flow formula at a=0 and any M

(1)
$$4 \operatorname{tr}\{\lambda\}\operatorname{tr}\{\eta\}\langle Q_t^2\rangle_{\mathrm{c}} = 4 \operatorname{tr}\{\lambda\}\operatorname{tr}\{\eta\}\langle Q_tQ\rangle_{\mathrm{c}} = -2 \operatorname{tr}\{\eta\}\delta_{\lambda}^A\langle Q_t\rangle$$

(2)
$$-2\operatorname{tr}\{\eta\}\langle Q_t\rangle = -2\operatorname{tr}\{\eta\}\langle Q\rangle = \delta^A_\eta\{F(M) + \Delta F(M)\}$$

$$\Rightarrow 4 \operatorname{tr}\{\lambda\} \operatorname{tr}\{\eta\} \langle Q_t^2 \rangle_{\mathbf{c}} = \delta_{\lambda}^A \delta_{\eta}^A \{F(M) + \Delta F(M)\}$$

Conclusions

The equality of the density-chain and gradient-flow formulae

- ★ Relates the chiral anomaly to the topology of field space at the fully non-perturbative level
- ★ Provides the definitive justification for using the flow-formula for the topological susceptibility

Would be difficult to show w/o formulations of LQCD preserving chiral symmetry!